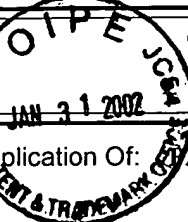


m Seeter/10  
#3  
Docket No.  
01-040



**TRANSMITTAL LETTER**  
(General - Patent Pending)

In Re Application Of: JACKES, JR. et al.

Serial No.  
09/991,168

Filing Date  
November 14, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
3713

Title: **DEVICE AND METHOD FOR PROVIDING PAYOUTS BASED ON ACTIVITY AND RANKS OF OTHER GAMING SESSIONS**

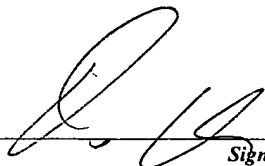
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Copy of Notice to File Missing Parts, dated December 17, 2001 (1 pg.);  
Response to Notice to File Missing Parts (3 pp.) (x2); Assignment of Rights, Title and Interest in Invention (4 pp.);  
Declaration and Power of Attorney (4 pp.);  
Recordation Form Cover Sheet (4 pp.) (x2); and  
Return Receipt Postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$170.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: **January 18, 2002**

**Dean P. Alderucci**  
Attorney for Applicants  
PTO Registration No. 40,484  
(203) 461-7337/phone  
(203) 461-7300/fax

Customer No. 22927

Alderucci@walkerdigital.com

CC:

I certify that this document and fee is being deposited on **January 18, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Michael D. Brinton**

Typed or Printed Name of Person Mailing Correspondence

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,168	11/14/2001	John M. Packes JR.	01-040

22927  
WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905

COPY

CONFIRMATION NO. 1181

## FORMALITIES LETTER



\*OC000000007201560\*

Date Mailed: 12/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/05/2002 NHOAHM1 00000079 500271 09991168

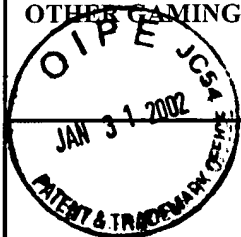
31 FEB 2005

130.00 CH

#3

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**Docket No.  
01-040

In Re Application Of: PACKES et al.

Serial No.  
09/991,168Filing Date  
November 14, 2001Examiner  
Not Yet AssignedGroup Art Unit  
3713Invention: **DEVICE AND METHOD FOR PROVIDING PAYOUTS BASED ON ACTIVITY AND RANKS OF  
OTHER GAMING SESSIONS**TO THE ASSISTANT COMMISSIONER FOR PATENTS:Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
December 17, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Copy of Notice to File Missing Parts, dated December 17, 2001 (1 pg.);  
Assignment of Rights, Title and Interest in Invention (4 pp.)'  
Declaration and Power of Attorney (4 pp.);  
Recordation Form Cover Sheet (4 pp.); and  
Return Receipt Postcard.

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
01-040

In Re Application Of: **PACKES et al.**

Serial No.  
09/991,168

Filing Date  
November 14, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
3713

Invention: **DEVICE AND METHOD FOR PROVIDING PAYOUTS BASED ON ACTIVITY AND RANKS OF  
OTHER GAMING SESSIONS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

<input type="checkbox"/> Utility application filing fee	_____
<input type="checkbox"/> Design application filing fee	_____
<input type="checkbox"/> Total number of independent claims = _____	_____
<input type="checkbox"/> Total number of claims = _____	_____
<input type="checkbox"/> Multiple dependent claims	_____
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<b>\$130.00</b>
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	_____
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	_____
<input type="checkbox"/> Fee for processing and retention of application	_____
<b>Total completion of application fees</b>	<b>\$130.00</b>

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
01-040

In Re Application Of: **PACKES et al.**

Serial No.  
09/991,168

Filing Date  
November 14, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
3713

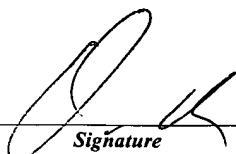
Invention: **DEVICE AND METHOD FOR PROVIDING PAYOUTS BASED ON ACTIVITY AND RANKS OF  
OTHER GAMING SESSIONS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0271**  
A duplicate copy of this sheet is enclosed.

  
*Signature*

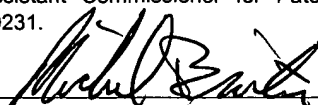
Dated: **January 18, 2002**

**Dean P. Alderucci**  
Attorney for Applicants  
PTO Registration No. 40,484  
(203) 461-7337/phone  
(203) 461-7300/fax

Customer No. 22927

cc: Alderucci@walkerdigital.com

I certify that this document and fee is being deposited on **January 18, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



*Signature of Person Mailing Correspondence*

**Michael D. Brinton**

*Typed or Printed Name of Person Mailing Correspondence*